



## TRADING PARTICIPANT TRADE NOTIFICATION FORM

*(Under Rule 32(4) of the USE Trading participant Rules 2021)*

<b>NOTIFICATION DETAILS</b>	
Date of the Notification to the Exchange:	
Name of the Trading Participant:	
Name of the employee intending the transaction:	
Designation of the employee intending the transaction:	
Nature of the intended transaction (BUY or SELL):	
Security name (Counter)	
Number of shares intended to be bought or sold:	
Expected date of transaction:	
<b>APPROVAL BY AUTHORISED REPRESENTATIVE (Designated Approver)</b>	
Name of Designated Approver:	
Signature:	
Date:	
<b>FOR USE APPROVAL</b>	
Legal department:	
Trading department:	
SCD:	

**Notes:**

- a) *Trading participant employees, directors and their associates who are insiders and wish to trade in securities at the Exchange, shall notify the Exchange of their intention to trade 48 hours of working days prior to the intended trade and the notification shall be valid for 5 business days.*
- b) *Any change of a designated approver shall immediately be communicated to the Exchange*
- c) *Sub rule 4 does not apply to government securities purchased through the Exchange from an auction held by the Central Bank*